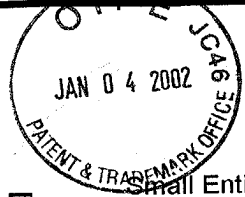


PFR

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

Name (Print Type) Cindy Hoang Signature Cindy Hoang Date 11-13-2001



TRANSMITTAL

☒ Small Entity

☐ Large Entity

Application Number 09/848,986
 Confirmation Number 8751
 Filing Date May 4, 2001
 First Named Inventor RAZ
 Examiner To Be Assigned
 Group Art 1645
 Attorney Docket No. UCAL168

ENCLOSED:

☐ Amendment Under Rule

☐ 37 CFR §

☐ Pages

Claims

No. of claims as
filed or after
amendmentMost claims
previously paid
for

Extra Claims

Rate

Totals

Total

Independent

Multiple

Total Extra Claim Fees

\$ -

\$ -

\$ -

☐ Applicants Petition for an
Extension of time from

to

A 2 month extension was previously filed
and paid for thereby reducing the basic
fee

Fee

☒ Response to Notice of Incomplete Reply (with copy of Notice)

☐ Filing Fee

Fee

☐ Executed Declaration

Pages

Surcharge Fee

☒ Other

1) Copy of Response to Notice to File Missing Parts as filed on October 11, 2001

Fee

2) Copy of Postcard indicating that the Response mailed on October 11, 2001

Fee

was received by the U.S.P.T.O. on October 15, 2001

Fee

Fee

Subtotal \$ -

☐ Information Disclosure Statement

☐ PTO Form 1449

Pages

☐ Copies of Cited References

☐ Other

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TECH CENTER 1600 2001

☐ Response to Notice to Comply (with copy of Notice to Comply)

☐ Sequence Listing Certification

☐ Paper Copy of Sequence Listing

Pages

☐ Diskette in computer-readable format

☐ Other

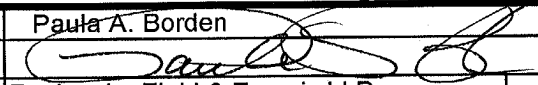
Fee

<input type="checkbox"/> Terminal Disclaimer		Fee
<input type="checkbox"/> Appeal to Board of Appeals and Appeal Communication to Group		
<input type="checkbox"/> Notice of Appeal	Pages	Fee
<input type="checkbox"/> Appeal Brief in Triplicate	Pages	Fee
<input type="checkbox"/> Reply Brief	Pages	Fee \$ -
		Subtotal \$ -

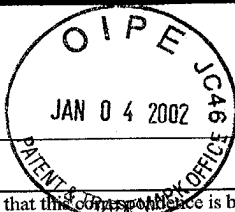
<input type="checkbox"/> Other Enclosures and/or Fees	Fee
<input type="checkbox"/> Change of Correspondence Address	
<input checked="" type="checkbox"/> Return Receipt Postcard	TOTAL FEES \$ -

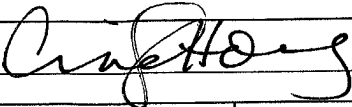
The Commissioner is authorized to charge any fees which may be required, or credit any overpayment to Deposit Account 50-0815. If additional fees are required, including extensions of time, please consider this a petition therefore. A duplicate copy of this transmittal is enclosed.

SIGNATURE OF APPLICANT, ATTORNEY OR AGENT REQUIRED

Name (Print/Type)	Paula A. Borden		Registration No.	42,344	
Signature			Date	11-13-2001	
Firm Name	Bozicevic, Field & Francis LLP		Address	200 Middlefield Road, Suite 200	
City	Menlo Park	State	California	zip	94025
Telephone - Direct Dial	650-327-3400		Facsimile	650-327-3231	

Application No. 09/848,986 Attorney Docket No. UCAL168 Page 2 of 2



CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231.			
Typed or Printed Name	Cindy Hoang		
Signature		Date	November 13, 2001
Communication in Response to Notice of Incomplete Reply Address to: Assistant Commissioner for Patents Washington, D.C. 20231	Attorney Docket	UCAL168	
	First Named Inventor	RAZ	
	Application Number	09/848,986	
	Filing Date	May 4, 2001	
	Group Art Unit	8751	
	Examiner Name	To Be Assigned	
	Title:	"AGENTS THAT MODULATE DNA-PK ACTIVITY AND METHODS OF USE THEREOF"	

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JAN 16 2001
TECH CENTER 1600/2900

Sir:

In response to the Notice of Incomplete Reply dated October 10, 2001, applicants respectfully submit the following:

- 1) Response to Notice to File Missing Parts as filed on October 11, 2001 and
- 2) Copy of Postcard indicating that the Response mailed on October 11, 2001 was received by the U.S. Patent and Trademark Office on October 15, 2001.

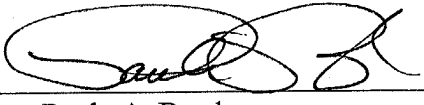
Applicants believe that no extension fees are due, as extension fees were paid with the timely filed response on October 11, 2001.

Atty Dkt. No.: UCAL168
USSN: 09/848,986

However, the Commissioner is hereby authorized to charge any fees which may be required by this paper, or to credit any overpayment, to Deposit Account No. 50-0815, order number UCAL168.

BOZICEVIC, FIELD & FRANCIS LLP

Date: Nov. 13, 2001

By: 
Paula A. Borden
Registration No. 42,344

BOZICEVIC, FIELD & FRANCIS LLP
200 Middlefield Road, Suite 200
Menlo Park, CA 94025
Telephone: (650) 327-3400
Facsimile: (650) 327-3231
F:\DOCUMENT\UCAL\168us1\Commun to PTO.rtf



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20590
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/848,986	05/04/2001	Eyal Raz	UCAL 168

24353
BOZICEVIC, FIELD & FRANCIS LLP
200 MIDDLEFIELD RD
SUITE 200
MENLO PARK, CA 94025



CONFIRMATION NO. 8751
FORMALITIES LETTER



OC00000006882094

Date Mailed: 10/10/2001

NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

Filing Date Granted

The U.S. Patent and Trademark Office has received your reply on 09/12/2001 to the Notice to File Missing Parts (Notice) mailed 06/12/2001 and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

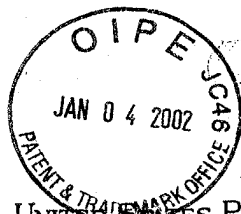
*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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UNITED STATES PATENT AND TRADEMARK OFFICE

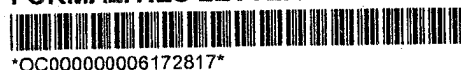
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

Page 1 of 2
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JAN 16 2001
TECH CENTER 1600/2900

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/848,986	05/04/2001	Raz	6510168US1

24353
BOZICEVIC, FIELD & FRANCIS LLP
200 MIDDLEFIELD RD
SUITE 200
MENLO PARK, CA 94025

CONFIRMATION NO. 8751
FORMALITIES LETTER



Date Mailed: 06/12/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*